

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

J.P. MORGAN CHASE BANK, N.A.

2. Address ☐ Check if different than previously reported

Address1 **270 PARK AVENUE, 29th FLOOR** Address2

City **NEW YORK** State **NY** Zip Code **10017** - Country **USA**

3. Principal place of business (if different than line 2)

City State Zip Code - Country

4a. Contact Name

b. Telephone Number

c. E-mail

5. Senate ID#

DANIEL J. EKSTEIN

☐ International Number

(202) 585-3757

daniel.j.ekstein@jpmchase.com

400280349-12

7. Client Name ☒ Self ☐ Check if client is a state or local government or instrumentality

J.P. MORGAN CHASE BANK, N.A.

6. House ID#

401250000

TYPE OF REPORT

8. Year **2010** Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☒ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☐ \$

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☒ \$ **\$1,520,000.00**

14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Filed Electronically

Date **07/20/2010**

Printed Name and Title **Daniel J. Ekstein, VP**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

BAN

BANKING

(one per page)

16. Specific lobbying issues

HR 2695, S 3217, HR 4173 - discuss legislation to regulate the market for payment card transaction fees;
HR 4173 - discuss impact of changes to the premium assessment base;
Discuss industry's efforts to modify home mortgage loans; Discuss policies to spur lending to small business.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Federal Deposit Insurance Commission (FDIC), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Naomi	Camper			<input type="checkbox"/>
Nathan	Gatten			<input type="checkbox"/>
Steven	Patterson			<input type="checkbox"/>
Pierce	Scranton			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Thomas	Koonce		Legislative Director, Rep. Brad Miller	<input checked="" type="checkbox"/>
Thomas	Koonce		Democratic Staff, House Judiciary Committee	<input checked="" type="checkbox"/>
Thomas	Koonce		Legislative Assistant, Rep. Stephen Neal	<input checked="" type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Daniel J. Ekstein, VP

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15. General issue area code

ENV

ENVIRONMENT/SUPERFUND

(one per page)

16. Specific lobbying issues

HR 2454 - discuss aspects of a proposed "cap & trade" regime to reduce the emission of green house gasses;
HR 2454, S 1462 - discuss the creation of a federally chartered financial institution to encourage renewable energy and energy efficiency;
S 2995 - discuss revisions to sulfur dioxide trading program.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Granville	Martin			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Steven	Patterson			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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15. General issue area code

FIN

FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

(one per page)

16. Specific lobbying issues

HR 4173, S 3217 - discuss proposals for comprehensive reform of market regulation to address recent market turmoil;
S 3217 - discuss the value and use of derivatives to hedge risk;
Discuss position limits and hedge exemptions with the CFTC;
Discuss secured lending practices with Members of Congress and agency officials;

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Commodity Futures Trading Commission (CFTC), Securities & Exchange Commission (SEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Naomi	Camper			<input type="checkbox"/>
Nathan	Gatten			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Granville	Martin			<input type="checkbox"/>
Steven	Patterson			<input type="checkbox"/>
Peter	Scher			<input type="checkbox"/>
Pierce	Scranton			<input type="checkbox"/>
Thomas	Koonce		Legislative Director, Rep. Brad Miller	<input checked="" type="checkbox"/>
Thomas	Koonce		Staffer, Hs Jud Cmte; Leg. Asst, Rep. Stephen Neal	<input checked="" type="checkbox"/>

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Printed Name and Title Daniel J. Ekstein, VP

Discuss short selling practices with Members of Congress and agency officials;
HR 4884 - discuss proposal for regulatory oversight of covered bonds.

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15. General issue area code

HOU

HOUSING

(one per page)

16. Specific lobbying issues

HR 5003, HR 5017 - discuss the USDA rural housing loan program.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Steven	Patterson			<input type="checkbox"/>
Nathan	Gatten			<input type="checkbox"/>
Thomas	Koonce		Legislative Director, Rep. Brad Miller	<input checked="" type="checkbox"/>
Thomas	Koonce		Staff, House Judiciary Committee	<input checked="" type="checkbox"/>
Thomas	Koonce		Legislative Assistant, Rep. Stephen Neal	<input checked="" type="checkbox"/>
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15. General issue area code

RET

RETIREMENT

(one per page)

16. Specific lobbying issues

HR 2989, HR 2779 - discuss the impact of proposed changes to the provision of investment advice, fee disclosure, an index fund mandate, and target date funds.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

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First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nathan	Gatten			<input type="checkbox"/>
Thomas	Koonce		Legislative Director, Rep. Brad Miller	<input checked="" type="checkbox"/>
Thomas	Koonce		Staff, House Judiciary Committee	<input checked="" type="checkbox"/>
Thomas	Koonce		Legislative Assistant, Rep. Stephen Neal	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
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15. General issue area code

TAX

TAXATION/INTERNAL REVENUE CODE

(one per page)

16. Specific lobbying issues

HR 1944 - discuss proposal to extend active finance exception to Subpart F of the Internal Revenue Code, which requires current taxation of income earned overseas;
Discuss the impact of proposed changes to the rules for deferral of taxation of income earned overseas;
Discuss the impact of proposals to impose a tax on financial transactions;

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of

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First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Naomi	Camper			<input type="checkbox"/>
Nathan	Gatten			<input type="checkbox"/>
Pierce	Scranton			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Peter	Scher			<input type="checkbox"/>
Steven	Patterson			<input type="checkbox"/>
Thomas	Koonce		Legislative Director, Rep. Brad Miller	<input checked="" type="checkbox"/>
Thomas	Koonce		Staff, House Judiciary Committee	<input checked="" type="checkbox"/>
Thomas	Koonce		Legislative Assistant, Rep. Stephen Neal	<input checked="" type="checkbox"/>

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S 2994, SA 3342 - discuss the impact of a proposal to impose a surtax on compensation;
Discuss potential design and impact of proposals to impose a new tax on the financial services industry;
HR 4213 - discuss the impact of proposals to limit the use of foreign tax credits;
HR 4213 - discuss the impact of proposed source rules for income on guarantees related to derivatives;
Discuss the design and potential impact of proposed regulations related to the Foreign Account Tax Compliance Act.

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15. General issue area code

TRD

TRADE (DOMESTIC/FOREIGN)

(one per page)

16. Specific lobbying issues

S 3134 - discuss the issue of currency valuation;
Discuss various proposals related to the application of privacy laws within the context of the Asian Pacific Economic Cooperation;
Discuss the issue of cross-border market access.

17. House(s) of Congress and Federal agencies ☐ Check if None

Treasury - Dept of, U.S. Trade Representative (USTR), State - Dept of (DOS), Commerce - Dept of (DOC), U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Emily	Beizer			<input type="checkbox"/>
Pierce	Scranton			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

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